

15730 U.S. PTO

22558 U.S. PTO
10777698

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UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional application under 37 C.F.R. § 1.53(b))

Attorney Docket No.

IN-5630

First Named Inventor

Marvin L. GREEN, et al.

Filing Date

February 11, 2004

Title

POLYESTER RESIN COMPOSITION FOR USE IN A
COATING COMPOSITION AND METHOD OF
PREPARING THE SAME

Express Mail Certificate

ER 047 861 864 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO: Commissioner for Patents, P.O. BOX 1450, Alexandria, Virginia
22313-1450

1. ☒ Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages]
-(preferred arrangement set forth below)
- Descriptive title of the invention
- Cross References to Related Application
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the invention
- Brief Summary of the invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
4. ☐ Drawing(s) (35 U.S.C.113) [Total Sheets]
5. ☒ Oath or Declaration [Total Sheets]
a. ☒ Newly executed (original or copy)
b. ☐ Copy from a prior application (37 CFR § 1.63(d))
(for continuation/divisional with Box 17 completed)
i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting
6. ☒ Application Data Sheet. See 37 CFR 1.76
7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
a. ☐ Computer Readable Form (CRF)
b. Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ paper
☐ Statements verifying identify of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☒ 37 CFR§3.73(b) Statement ☐ Power Of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/ PTO-1449 ☒ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically Itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ other:

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment;

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No _____, filed on _____.

Prior application information:

Examiner

Group/Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application from which an oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

☒ Customer Number or ☐ Correspondence address below

Country USA Telephone (248) 948-2021 Fax (248) 948-2093

Name (print Type) Anne Gerry Sabourin Registration No. (Attorney Agent) 33,772

Signature *Anne G. Sabourin* Date February 11, 2004

FEE TRANSMITTAL

For FY 2004

Patent fees are subject to annual revision.

Application Number

Filing Date

February 11, 2004

First Named Inventor

Marvin L. GREEN, et al.

Examiner Name

Art Unit

Attorney Docket No.

IN-5630

Express Mail Certificate

ER 047 861 864 US

Customer Number

26922

TOTAL AMOUNT OF PAYMENT \$1,206.00**METHOD OF PAYMENT (check all that apply)**☒ Deposit Account: ☐ Credit Card ☐ Check

Deposit Account Number

23-3425

Deposit Account Name

BASF CORPORATION

The Director is authorized to: (check all that apply)

☒ Change fees indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) or any underpayment of fee(s)
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

FEE CALCULATION**1. BASIC FILING FEE**

Large Entity

Fee	Code	Fee Description
1001	740	Utility filing fee
1002	340	Design filing fee
1003	530	Plant filing fee
1004	770	Reissue filing fee
1005	160	Provisional filing fee

1001	740	Utility filing fee	\$770.00
1002	340	Design filing fee	
1003	530	Plant filing fee	
1004	770	Reissue filing fee	
1005	160	Provisional filing fee	

SUBTOTAL (1)

\$770.00

2. EXTRA CLAIM FEES

Extra Claims Fee from below Fee Paid

Total Claims 42 -20** = 22 x 18 = \$396.00

Independent

Claims 03 - 3** = 0 x 86 = \$

Multiple Dependent

290 =

**Or number previously paid, if greater; For Reissues, see below

Large Entity

Fee	Fee	Fee Description
Code	(\$)	
1202	18	Claims in excess of 20
1201	86	Independent claims in excess of 3
1203	290	Multiple dependent claims, if not paid
1204	86	**Reissue independent claims over original patent
1205	18	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

\$396.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITION FEES**

Large Entity

Fee Description

Fee Paid

Fee Code

Fee (\$)

1051	130	Surcharge -late filing fee or oath	
1052	50	Surcharge-late provisional fee or Cover sheet	
1053	130	Non-English specification	
1812	2,520	For filing a request for ex parte reexamination	
1804	920*	Requesting publication of SIR prior to Examiner action	
1850	1,840*	Requesting publication of SIR after Examiner action	
1251	110	Extension for reply within first month	
1252	420	Extension for reply within second month	
1253	950	Extension for reply within third month	
1254	1,480	Extension for reply within fourth month	
1255	2,010	Extension for reply within fifth month	
1401	330	Notice of Appeal	
1402	330	Filing a brief in support of an appeal	
1403	290	Request for oral hearing	
1451	1,510	Petition to institute public use proceeding	
1452	110	Petition to revive-unavoidable	
1453	1,330	Petition to revive-unintentional	
1501	1,330	Utility issue fee (or reissue)	
1502	480	Design issue fee	
1503	640	Plant issue fee	
1460	130	Petitions to the Commissioner	
1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	Submission information Disclosure Stmt	
8021	40	Recording each patent assignment per property (times number of properties)	\$40.
1809	770	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	Request for Continued Examination (RCE)	
1802	900	Request for expedited examination of a design application	

Other fee (specify) _____

Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

\$40.00

Country USA

Telephone

(248) 948-

Fax

(248) 948-2093

Name (print Type) Anne Gerry Sabourin

Registration No. (Attorney Agent)

33,772

Signature

Date

February 11, 2004

PATENT

(Practitioner's Docket No. IN- (5630)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Marvin L. GREEN, and Swaminathan RAMESH	
Serial No.:	Group Art Unit: Not Assigned
Filed: February 11, 2004	Examiner: Not Assigned

**For: POLYESTER RESIN COMPOSITION FOR USE IN A COATING COMPOSITION AND
METHOD OF PREPARING THE SAME**

**Commissioner of Patents
Washington, D.C. 20231**

EXPRESS MAIL CERTIFICATE

"Express Mail" label number **ER047 861 864 US** Date of Deposit **February 11, 2004**

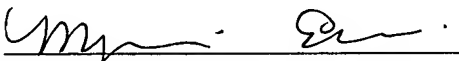
I hereby certify that the following attached paper or fee

☒ **Patent Application Data Sheet**

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Commissioner for Patents, P.O. BOX 1450, Alexandria, Virginia 22313-1450.

Marjorie Ellis

(Typed or printed name of person mailing paper or fee)


Signature of person mailing paper or fee)

Note: Each paper must have its own certificate and the "Express Mail" label number as a part thereof or attached thereto. When, as here, the certification is presented on a separate sheet, that sheet must (1) **be signed** and (2) **fully identify and be securely attached to the paper or fee it accompanies**. identification should include the serial number and filing date of the application as well as the type of paper being filed, e.g.

complete application, specification and drawings, responses to rejection or refusal, notice of appeal, etc. if the serial number of the application is not known, the identification should include at least the name of the inventor(s) and the title of the invention.

Note: The label number need not be placed on each page. It should, however, be placed on the first page of each separate document, such as, a new application, amendment, assignment, and transmittal letter for a fee, along with the certificate of mailing by "Express Mail." Although the label number may be on checks, such a practice is not required. In order not to deface formal drawings it is suggested that the label number be placed on the back of each formal drawing of the drawings be accompanied by a set of informal drawings on which the label number is placed.

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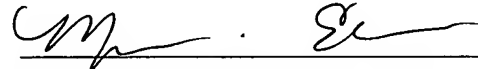
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☒ **Combined Declaration and Power of Attorney 02 pages**

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Marjorie Ellis

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Washington, D.C. 20231**

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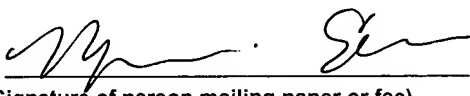
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☒ English Application
Specification on pages; claims on pages;
the abstract on page(s); drawings on page(s).

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Marjorie Ellis

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Washington, D.C. 20231**

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"Express Mail" label number **ER047 861 864 US** Date of Deposit **February 11, 2004**

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x	Information Disclosure Statement
x	Form PTO-1449

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